



ELSEVIER

Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

ScienceDirect

Electronic Notes in  
Theoretical Computer  
Science

Electronic Notes in Theoretical Computer Science 204 (2008) 21–34

[www.elsevier.com/locate/entcs](http://www.elsevier.com/locate/entcs)

# Decidability of Innermost Termination and Context-Sensitive Termination for Semi-Constructor Term Rewriting Systems

Keita Uchiyama<sup>1</sup> Masahiko Sakai<sup>2</sup> Toshiki Sakabe<sup>3</sup>

*Graduate School of Information Science  
Nagoya University  
Furo-cho, Chikusa-ku, Nagoya, 464-8603, Japan*

---

## Abstract

Yi and Sakai [13] showed that the termination problem is a decidable property for the class of semi-constructor term rewriting systems, which is a superclass of the class of right-ground term rewriting systems. Decidability was shown by the fact that every non-terminating TRS in the class has a loop. In this paper we modify the proof of [13] to show that both innermost termination and  $\mu$ -termination are decidable properties for the class of semi-constructor TRSs.

*Keywords:* Context-Sensitive Termination, Dependency Pair, Innermost Termination

---

## 1 Introduction

Termination is one of the central properties of term rewriting systems (TRSs for short), where we say a TRS terminates if it does not admit any infinite reduction sequence. Since termination is undecidable in general, several decidable classes have been studied [6,8,9,12,13]. The class of semi-constructor TRSs is one of them [13], where a TRS is in this class if for every right-hand side of rules all its subterms having a defined symbol at root position are ground.

Innermost reduction, the strategy which rewrites innermost redexes, is used for call-by-value computation. Context-sensitive reduction is a strategy in which rewritable positions are indicated by specifying arguments of function symbols. Some non-terminating TRSs are terminating by context-sensitive reduction without loss of computational ability. The termination property with respect to innermost

---

<sup>1</sup> Email: [uchiyama@sakabe.i.is.nagoya-u.ac.jp](mailto:uchiyama@sakabe.i.is.nagoya-u.ac.jp)

<sup>2</sup> Email: [sakai@is.nagoya-u.ac.jp](mailto:sakai@is.nagoya-u.ac.jp)

<sup>3</sup> Email: [sakabe@is.nagoya-u.ac.jp](mailto:sakabe@is.nagoya-u.ac.jp)

(resp. context-sensitive) reduction is called innermost (resp. context-sensitive) termination. Since innermost termination and context-sensitive termination are also undecidable in general, methods for proving these terminations have been studied [2,4].

In this paper, we prove that innermost termination and context-sensitive termination for semi-constructor TRSs are decidable properties. We show that context-sensitive termination for  $\mu$ -semi-constructor TRSs having no infinite variable dependency chain is a decidable property. We also extend the classes by using dependency graphs.

## 2 Preliminaries

We assume the reader is familiar with the standard definitions of term rewriting systems [5], dependency pairs [4], and context-sensitive rewriting [2]. Here we just review the main notations used in this paper.

A *signature*  $\mathcal{F}$  is a set of function symbols, where every  $f \in \mathcal{F}$  is associated with a non-negative integer by an arity function:  $\text{arity}: \mathcal{F} \rightarrow \mathbb{N}$ . The set of all *terms* built from a signature  $\mathcal{F}$  and a countably infinite set  $\mathcal{V}$  of *variables* such that  $\mathcal{F} \cap \mathcal{V} = \emptyset$ , is represented by  $\mathcal{T}(\mathcal{F}, \mathcal{V})$ . The set of *ground terms* is  $\mathcal{T}(\mathcal{F}, \emptyset)$ . The set of variables occurring in a term  $t$  is denoted by  $\text{Var}(t)$ .

The set of all *positions* in a term  $t$  is denoted by  $\text{Pos}(t)$  and  $\varepsilon$  represents the root position.  $\text{Pos}(t)$  is:  $\text{Pos}(t) = \{\varepsilon\}$  if  $t \in \mathcal{V}$ , and  $\text{Pos}(t) = \{\varepsilon\} \cup \{iu \mid 1 \leq i \leq n, u \in \text{Pos}(t_i)\}$  if  $t = f(t_1, \dots, t_n)$ . Let  $C$  be a *context* with a hole  $\square$ . We write  $C[t]_p$  for the term obtained from  $C$  by replacing  $\square$  at position  $p$  with a term  $t$ . We sometimes write  $C[t]$  for  $C[t]_p$  by omitting the position  $p$ . We say  $t$  is a *subterm* of  $s$  if  $s = C[t]$  for some context  $C$ . We denote the *subterm relation* by  $\preceq$ , that is,  $t \preceq s$  if  $t$  is a subterm of  $s$ , and  $t \triangleleft s$  if  $t \preceq s$  and  $t \neq s$ . The *root symbol* of a term  $t$  is denoted by  $\text{root}(t)$ .

A *substitution*  $\theta$  is a mapping from  $\mathcal{V}$  to  $\mathcal{T}(\mathcal{F}, \mathcal{V})$  such that the set  $\text{Dom}(\theta) = \{x \in \mathcal{V} \mid \theta(x) \neq x\}$  is finite. We usually identify a substitution  $\theta$  with the set  $\{x \mapsto \theta(x) \mid x \in \text{Dom}(\theta)\}$  of variable bindings. In the following, we write  $t\theta$  instead of  $\theta(t)$ .

A *rewrite rule*  $l \rightarrow r$  is a directed equation which satisfies  $l \notin \mathcal{V}$  and  $\text{Var}(r) \subseteq \text{Var}(l)$ . A *term rewriting system* TRS is a finite set of rewrite rules. A *redex* is a term  $l\theta$  for a rule  $l \rightarrow r$  and a substitution  $\theta$ . A term containing no redex is called a *normal form*. A substitution  $\theta$  is *normal* if  $x\theta$  is in normal forms for every  $x$ . The *reduction relation*  $\xrightarrow{R} \subseteq \mathcal{T}(\mathcal{F}, \mathcal{V}) \times \mathcal{T}(\mathcal{F}, \mathcal{V})$  associated with a TRS  $R$  is defined as follows:  $s \xrightarrow{R} t$  if there exist a rewrite rule  $l \rightarrow r \in R$ , a substitution  $\theta$ , and a context  $C[\ ]_p$  such that  $s = C[l\theta]_p$  and  $t = C[r\theta]_p$ , we say that  $s$  is reduced to  $t$  by contracting redex  $l\theta$ . We sometimes write  $\xrightarrow[p]{R}$  for  $\xrightarrow{R}$  by displaying the position  $p$ .

A redex is *innermost* if all its proper subterms are in normal forms. If  $s$  is reduced to  $t$  by contracting an innermost redex, then  $s \rightarrow_R t$  is said to be an *innermost reduction* denoted by  $s \xrightarrow{\text{in}, R} t$ .

**Proposition 2.1** For a TRS  $R$ , if there is a reduction  $s \xrightarrow{\text{in}, R} t$ , then  $C[s] \xrightarrow{\text{in}, R} C[t]$  for any context  $C$ .

A mapping  $\mu : \mathcal{F} \rightarrow \mathcal{P}(\mathbb{N})$  is a *replacement map* (or  $\mathcal{F}$ -map) if  $\mu(f) \subseteq \{1, \dots, \text{arity}(f)\}$ . The set of  $\mu$ -replacing positions  $\text{Pos}_\mu(t)$  of a term  $t$  is:  $\text{Pos}_\mu(t) = \{\varepsilon\}$ , if  $t \in \mathcal{V}$  and  $\text{Pos}_\mu(t) = \{\varepsilon\} \cup \{iu \mid i \in \mu(f), u \in \text{Pos}_\mu(t_i)\}$ , if  $t = f(t_1, \dots, t_n)$ . A context  $C[\ ]_p$  is  $\mu$ -replacing denoted by  $C_\mu[\ ]_p$  if  $p \in \text{Pos}_\mu(C)$ . The set of all  $\mu$ -replacing variables of  $t$  is  $\text{Var}_\mu(t) = \{x \in \text{Var}(t) \mid \exists C, C_\mu[x]_p = t\}$ . The  $\mu$ -replacing subterm relation  $\leq_\mu$  is given by  $s \leq_\mu t$  if there is  $p \in \text{Pos}_\mu(t)$  such that  $t = C[s]_p$ . A *context-sensitive rewriting system* is a TRS with an  $\mathcal{F}$ -map. If  $s \xrightarrow{p} t$  and  $p \in \text{Pos}_\mu(s)$ , then  $s \xrightarrow{\mu, R} t$  is said to be a  $\mu$ -reduction denoted by  $s \xrightarrow{\mu, R} t$ .

Let  $\rightarrow$  be a binary relation on terms, the transitive closure of  $\rightarrow$  is denoted by  $\rightarrow^+$ . The transitive and reflexive closure of  $\rightarrow$  is denoted by  $\rightarrow^*$ . If  $s \rightarrow^* t$ , then we say that there is a  $\rightarrow$ -sequence starting from  $s$  to  $t$  or  $t$  is  $\rightarrow$ -reachable from  $s$ . We write  $s \rightarrow^k t$  if  $t$  is  $\rightarrow$ -reachable from  $s$  with  $k$  steps. A term  $t$  *terminates* with respect to  $\rightarrow$  if there exists no infinite  $\rightarrow$ -sequence starting from  $t$ .

**Example 2.2** Let  $R_1 = \{g(x) \rightarrow h(x), h(d) \rightarrow g(c), c \rightarrow d\}$  and  $\mu_1(g) = \mu_1(h) = \emptyset$ . A  $\mu_1$ -reduction sequence starting from  $g(d)$  is  $g(d) \xrightarrow{\mu_1, R_1} h(d) \xrightarrow{\mu_1, R_1} g(c)$ . We can not reduce  $g(c)$  to  $g(d)$  because  $c$  is not a  $\mu_1$ -replacing subterm of  $g(c)$ .

**Proposition 2.3** For a TRS  $R$  and  $\mathcal{F}$ -map  $\mu$ , if there is a reduction  $s \xrightarrow{\mu, R} t$ , then  $C_\mu[s] \xrightarrow{\mu, R} C_\mu[t]$  for any  $\mu$ -replacing context  $C_\mu$ .

For a TRS  $R$  (and  $\mathcal{F}$ -map  $\mu$ ), we say that  $R$  terminates (resp. innermost terminates,  $\mu$ -terminates) if every term terminates with respect to  $\rightarrow_R$  (resp.  $\xrightarrow{\text{in}, R}$ ,  $\xrightarrow{\mu, R}$ ).

For a TRS  $R$ , a function symbol  $f \in \mathcal{F}$  is *defined* if  $f = \text{root}(l)$  for some rule  $l \rightarrow r \in R$ . The set of all defined symbols of  $R$  is denoted by  $D_R = \{\text{root}(l) \mid l \rightarrow r \in R\}$ . A term  $t$  has a *defined root symbol* if  $\text{root}(t) \in D_R$ .

Let  $R$  be a TRS over a signature  $\mathcal{F}$ . The signature  $\mathcal{F}^\sharp$  denotes the union of  $\mathcal{F}$  and  $D_R^\sharp = \{f^\sharp \mid f \in D_R\}$  where  $\mathcal{F} \cap D_R^\sharp = \emptyset$  and  $f^\sharp$  has the same arity as  $f$ . We call these fresh symbols *dependency pair symbols*. We define a notation  $t^\sharp$  by  $t^\sharp = f^\sharp(t_1, \dots, t_n)$  if  $t = f(t_1, \dots, t_n)$  and  $f \in D_R$ ,  $t^\sharp = t$  if  $t \in \mathcal{V}$ . If  $l \rightarrow r \in R$  and  $u$  is a subterm of  $r$  with a defined root symbol and  $u \not\leq l$ , then the rewrite rule  $l^\sharp \rightarrow u^\sharp$  is called a *dependency pair* of  $R$ . The set of all dependency pairs of  $R$  is denoted by  $\text{DP}(R)$ .

**Example 2.4** Let  $R_2 = \{a \rightarrow g(f(a)), f(f(x)) \rightarrow h(f(a), f(x))\}$ . We have  $\text{DP}(R_2) = \{a^\sharp \rightarrow a^\sharp, a^\sharp \rightarrow f^\sharp(a), f^\sharp(g(x)) \rightarrow a^\sharp, f^\sharp(g(x)) \rightarrow f^\sharp(a)\}$ .

A rule  $l \rightarrow r$  is said to be *right ground* if  $r$  is ground. Right-ground TRSs are TRSs that consist of right-ground rules.

**Definition 2.5** [Semi-Constructor TRS] A TRS  $R$  is a *semi-constructor system* if every rule in  $\text{DP}(R)$  is right ground.

**Remark 2.6** The class of semi-constructor TRSs in this paper is a larger class of semi-constructor TRSs by the original definition because a rule  $l^\# \rightarrow u^\#$  is not dependency pair if  $u \triangleleft l$ . The original definition of semi-constructor TRS is as follows [11]. A term  $t \in \mathcal{T}(\mathcal{F}, \mathcal{V})$  is a *semi-constructor* term if every term  $s$  such that  $s \triangleleft t$  and  $\text{root}(s) \in D_R$  is ground. A TRS  $R$  is a semi-constructor system if  $r$  is a semi-constructor term for every rule  $l \rightarrow r \in R$ .

**Example 2.7** The TRS  $R_2$  (in Example 2.4) is a semi-constructor TRS but not in the original definition.

### 3 Decidability of Innermost Termination for Semi-Constructor TRSs

Decidability of termination for semi-constructor TRSs is proved based on the observation that there exists an infinite reduction sequence having a loop if it is not terminating [13]. In this section, we prove the decidability of innermost termination in a similar way.

**Definition 3.1** [loop] Let  $\rightarrow$  be a relation on terms. A reduction sequence *loops* if it contains  $t \rightarrow^+ C[t]$  for some context  $C$ , and *head-loops* if containing  $t \rightarrow^+ t$ .

**Proposition 3.2** *If there exists an innermost sequence that loops, then there exists an infinite innermost sequence.*

**Definition 3.3** [Innermost DP-chain] For a TRS  $R$ , a sequence of the elements of  $\text{DP}(R)$   $s_1^\# \rightarrow t_1^\#, s_2^\# \rightarrow t_2^\#, \dots$  is an *innermost dependency chain* if there exist substitutions  $\tau_1, \tau_2, \dots$  such that  $s_i^\# \tau_i$  is in normal forms and  $t_i^\# \tau_i \xrightarrow{\text{in}, R}^* s_{i+1}^\# \tau_{i+1}$  holds for every  $i$ .

**Theorem 3.4** ([4]) *For a TRS  $R$ ,  $R$  does not innermost terminate if and only if there exists an infinite innermost dependency chain.*

Let  $\mathcal{M}_{\geq}^\rightarrow$  denote the set of all *minimal non-terminating terms* for a relation on terms  $\rightarrow$  and an order on terms  $\geq$ .

**Definition 3.5** [ $\mathcal{C}$ -min] For a TRS  $R$ , let  $\mathcal{C} \subseteq \text{DP}(R)$ . An infinite reduction sequence in  $R \cup \mathcal{C}$  in the form  $t_1^\# \xrightarrow{\text{in}, R \cup \mathcal{C}} t_2^\# \xrightarrow{\text{in}, R \cup \mathcal{C}} t_3^\# \xrightarrow{\text{in}, R \cup \mathcal{C}} \dots$  with  $t_i \in \mathcal{M}_{\geq}^{\text{in}, R}$  for all  $i \geq 1$  is called a  *$\mathcal{C}$ -min innermost reduction sequence*. We use  $\mathcal{C}_{\min}^{\text{in}}(t^\#)$  to denote the set of all  $\mathcal{C}$ -min innermost reduction sequences starting from  $t^\#$ .

**Proposition 3.6** ([4]) *Given a TRS  $R$ , the following statements hold:*

- (i) *If there exists an infinite innermost dependency chain, then  $\mathcal{C}_{\min}^{\text{in}}(t^\#) \neq \emptyset$  for some  $\mathcal{C} \subseteq \text{DP}(R)$  and  $t \in \mathcal{M}_{\geq}^{\text{in}, R}$ .*
- (ii) *For any sequence in  $\mathcal{C}_{\min}^{\text{in}}(t^\#)$ , reduction by rules of  $R$  takes place below the root while reduction by rules of  $\mathcal{C}$  takes place at the root.*

- (iii) For any sequence in  $\mathcal{C}_{min}^{in}(t^\sharp)$ , there is at least one rule in  $\mathcal{C}$  which is applied infinitely often.

**Lemma 3.7** ([4]) For two terms  $s$  and  $s'$ ,  $s^\sharp \xrightarrow{in, RUC}^* s'^\sharp$  implies  $s \xrightarrow{in, R}^* C[s']$  for some context  $C$ .

**Proof.** We use induction on the number  $n$  of reduction steps in  $s^\sharp \xrightarrow{in, RUC}^n s'^\sharp$ . In the case that  $n = 0$ ,  $s \xrightarrow{in, R}^* C[s']$  holds where  $C = \square$ . Let  $n \geq 1$ . Then we have  $s^\sharp \xrightarrow{in, RUC}^{n-1} s''^\sharp \xrightarrow{in, RUC} s'^\sharp$  for some  $s''^\sharp$ . By the induction hypothesis,  $s \xrightarrow{in, R}^* C[s'']$ .

• Consider the case that  $s''^\sharp \xrightarrow{in, R} s'^\sharp$ . Since  $s'' \xrightarrow{in, R} s'$ , we have  $C[s''] \xrightarrow{in, R} C[s']$  by Proposition 2.1. Hence  $s \xrightarrow{in, R}^* C[s']$ .

• Consider the case that  $s''^\sharp \xrightarrow{in, C} s'^\sharp$ . Since  $s''$  is a normal form with respect to  $\rightarrow_R$ , we have  $s'' \xrightarrow{in, R} C'[s']$  by the definition of dependency pairs.  $C[s''] \xrightarrow{in, R} C[C'[s']]$ , by Proposition 2.1. Hence  $s \xrightarrow{in, R}^* C[C'[s']]$ .  $\square$

**Lemma 3.8** For a semi-constructor TRS  $R$ , the following statements are equivalent:

- (i)  $R$  does not innermost terminate.
- (ii) There exists  $l^\sharp \rightarrow u^\sharp \in \text{DP}(R)$  such that  $sq$  head-loops for some  $C \subseteq \text{DP}(R)$  and  $sq \in \mathcal{C}_{min}^{in}(u^\sharp)$ .

**Proof.** ((ii)  $\Rightarrow$  (i)) : It is obvious from Lemma 3.7, and Proposition 3.2. ((i)  $\Rightarrow$  (ii)) : By Theorem 3.4 there exists an infinite innermost dependency chain. By Proposition 3.6(i), there exists a sequence  $sq \in \mathcal{C}_{min}^{in}(t^\sharp)$ . By Proposition 3.6(ii),(iii), there exists some rule  $l^\sharp \rightarrow u^\sharp \in \mathcal{C}$ , which is applied at root position in  $sq$  infinitely often. By Definition 2.5,  $u^\sharp$  is ground. Thus  $sq$  contains a subsequence  $u^\sharp \xrightarrow{in, RUDP(R)}^* \cdot \rightarrow \{l^\sharp \rightarrow u^\sharp\} u^\sharp$ , which head-loops.  $\square$

**Theorem 3.9** Innermost termination of semi-constructor TRSs is decidable.

**Proof.** The decision procedure for the innermost termination of a semi-constructor TRS  $R$  is as follows: consider all terms  $u_1, u_2, \dots, u_n$  corresponding to the right-hand sides of  $\text{DP}(R) = \{l_i^\sharp \rightarrow u_i^\sharp \mid 1 \leq i \leq n\}$ , and simultaneously generate all innermost reduction sequences with respect to  $R$  starting from  $u_1, u_2, \dots, u_n$ . The procedure halts if it enumerates all reachable terms exhaustively or it detects a looping reduction sequence  $u_i \xrightarrow{in, R}^+ C[u_i]$  for some  $i$ .

Suppose  $R$  does not innermost-terminate. By Lemma 3.8 and 3.7, we have a looping reduction sequence  $u_i \xrightarrow{in, R}^+ C[u_i]$  for some  $i$  and  $C$ , which we eventually detect. If  $R$  innermost terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a looping sequence, otherwise it contradicts Proposition 3.2. Thus the procedure decides innermost termination of  $R$  in finitely many steps.  $\square$

## 4 Decidability of Context-Sensitive Termination for Semi-Constructor TRSs

The proof of decidability for innermost termination is straightforward. However, the proof for context-sensitive termination is not so straightforward because of the existence of a dependency pair whose right-hand side is variable.

**Definition 4.1** [ $\mu$ -Loop] Let  $\rightarrow$  be a relation on terms and  $\mu$  be an  $\mathcal{F}$ -map. A reduction sequence  $\mu$ -loops if it contains  $t \rightarrow^+ C_\mu[t]$  for some context  $C_\mu$ .

**Example 4.2** Let  $R_3 = \{a \rightarrow g(f(a)), f(g(x)) \rightarrow h(f(a), x)\}$ ,  $\mu_2(f) = \{1\}$ ,  $\mu_2(g) = \emptyset$  and  $\mu_2(h) = \{1, 2\}$ . The  $\mu_2$ -reduction sequence with respect to  $R_3$   $f(a) \xrightarrow{\mu_2, R_3} f(g(f(a))) \xrightarrow{\mu_2, R_3} h(f(a), f(a)) \xrightarrow{\mu_2, R_3} \dots$  is  $\mu_2$ -looping.

**Proposition 4.3** If there exists a  $\mu$ -looping  $\mu$ -reduction sequence, then there exists an infinite  $\mu$ -reduction sequence.

**Definition 4.4** [Context-Sensitive Dependency Pairs [2]] Let  $R$  be a TRS and  $\mu$  be an  $\mathcal{F}$ -map. We define  $\text{DP}(R, \mu) = \text{DP}_{\mathcal{F}}(R, \mu) \cup \text{DP}_{\mathcal{V}}(R, \mu)$  to be the set of context-sensitive dependency pairs where:

$$\begin{aligned} \text{DP}_{\mathcal{F}}(R, \mu) &= \{l^\sharp \rightarrow u^\sharp \mid l \rightarrow r \in R, u \trianglelefteq_\mu r, \text{root}(u) \in D_R, u \not\trianglelefteq_\mu l\} \\ \text{DP}_{\mathcal{V}}(R, \mu) &= \{l^\sharp \rightarrow x \mid l \rightarrow r \in R, x \in \text{Var}_\mu(r) \setminus \text{Var}_\mu(l)\} \end{aligned}$$

**Example 4.5** Consider TRS  $R_3$  and  $\mathcal{F}$ -map  $\mu_2$  (in Example 4.2).  $\text{DP}_{\mathcal{F}}(R_3, \mu_2) = \{f^\sharp(g(x)) \rightarrow f^\sharp(a)\}$  and  $\text{DP}_{\mathcal{V}}(R_3, \mu_2) = \{f^\sharp(g(x)) \rightarrow x\}$ .

For a given TRS  $R$  and an  $\mathcal{F}$ -map  $\mu$ , we define  $\mu^\sharp$  by  $\mu^\sharp(f) = \mu(f)$  for  $f \in \mathcal{F}$ , and  $\mu^\sharp(f^\sharp) = \mu(f)$  for  $f \in D_R$ . We write  $s \triangleq_\mu^\sharp t$  for  $s \triangleq_\mu t$ .

**Definition 4.6** [Context-Sensitive Dependency Chain] For a TRS  $R$  and  $\mathcal{F}$ -map  $\mu$ , a sequence of the elements of  $\text{DP}(R, \mu)$   $s_1^\sharp \rightarrow t_1^\sharp, s_2^\sharp \rightarrow t_2^\sharp, \dots$  is a context-sensitive dependency chain if there exist substitutions  $\tau_1, \tau_2, \dots$  satisfying both:

- $t_i^\sharp \tau_i \xrightarrow{\mu^\sharp, R}^* s_{i+1}^\sharp \tau_{i+1}$ , if  $t_i^\sharp \notin \mathcal{V}$
- $x \tau_i \triangleq_\mu^\sharp u_i^\sharp \xrightarrow{\mu^\sharp, R}^* s_{i+1}^\sharp \tau_{i+1}$  for some term  $u_i$ , if  $t_i^\sharp = x$ .

**Example 4.7** Consider TRS  $R_3$  and  $\mathcal{F}$ -map  $\mu_2$  (in Example 4.2).  $f(a), f(g(f(a))) \in \mathcal{M}_{\triangleq_\mu}^{\mu_2, R_3}$  and  $f(f(a)), h(f(a), f(a)) \notin \mathcal{M}_{\triangleq_\mu}^{\mu_2, R_3}$ .

**Theorem 4.8** ([2]) For a TRS  $R$  and an  $\mathcal{F}$ -map  $\mu$ , there exists an infinite context-sensitive dependency chain if and only if  $R$  does not  $\mu$ -terminate.

Let  $R$  be a TRS,  $\mu$  be an  $\mathcal{F}$ -map and  $\mathcal{C} \subseteq \text{DP}(R, \mu)$ . We define  $\xrightarrow{\mu, R, \mathcal{C}}$  as  $(\xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{F}}} \cup (\xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} \cdot \triangleq_\mu^\sharp) \cup \xrightarrow{\mu^\sharp, R})$  where  $\mathcal{C}_{\mathcal{F}} = \mathcal{C} \cap \text{DP}_{\mathcal{F}}(R, \mu)$  and  $\mathcal{C}_{\mathcal{V}} = \mathcal{C} \cap \text{DP}_{\mathcal{V}}(R, \mu)$ .

**Definition 4.9** [ $\mu$ - $\mathcal{C}$ -min] Let  $R$  be a TRS,  $\mu$  be an  $\mathcal{F}$ -map. An infinite sequence of terms in the form  $t_1^\sharp \xrightarrow{\mu, R, \mathcal{C}} t_2^\sharp \xrightarrow{\mu, R, \mathcal{C}} t_3^\sharp \xrightarrow{\mu, R, \mathcal{C}} \dots$  is called a  $\mathcal{C}$ -min  $\mu$ -sequence if

$t_i \in \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$  for all  $i \geq 1$ . We use  $\mathcal{C}_{min}^\mu(t^\sharp)$  to denote the set of all  $\mathcal{C}$ -min  $\mu$ -sequences starting from  $t^\sharp$ .

Note that  $\mathcal{C}_{min}^\mu(t^\sharp) = \emptyset$  if  $t \notin \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$ .

**Example 4.10** Let  $\mathcal{C} = \text{DP}(R_3, \mu_2)$ , the sequence  $f^\sharp(a) \xrightarrow[\mu_2, R_3, \mathcal{C}]{} f^\sharp(g(f(a))) \xrightarrow[\mu_2, R_3, \mathcal{C}]{} f^\sharp(a) \xrightarrow[\mu_2, R_3, \mathcal{C}]{} \dots$  is a  $\mathcal{C}$ -min  $\mu$ -sequence.

**Proposition 4.11** ([2]) *Given a TRS  $R$  and an  $\mathcal{F}$ -map  $\mu$ , the following statements hold:*

- (i) *If there exists an infinite context-sensitive dependency chain, then  $\mathcal{C}_{min}^\mu(t^\sharp) \neq \emptyset$  for some  $\mathcal{C} \subseteq \text{DP}(R, \mu)$  and  $t \in \mathcal{M}_{\geq \mu}^{\overrightarrow{\mu, R}}$ .*
- (ii) *For any sequence in  $\mathcal{C}_{min}^\mu(t^\sharp)$ , a reduction with  $\xrightarrow[\mu^\sharp, R]{} \dots$  takes place below the root while reductions with  $\xrightarrow[\mu^\sharp, \mathcal{C}_\mathcal{F}]{} \dots$  and  $\xrightarrow[\mu^\sharp, \mathcal{C}_\mathcal{V}]{} \dots$  take place at the root.*
- (iii) *For any sequence in  $\mathcal{C}_{min}^\mu(t^\sharp)$ , there is at least one rule in  $\mathcal{C}$  which is applied infinitely often.*

**Lemma 4.12** *For two terms  $s$  and  $t$ ,  $s^\sharp \xrightarrow[\mu, R, \mathcal{C}]{}^* t^\sharp$  implies  $s \xrightarrow[\mu, R]{}^* C_\mu[t]$  for some context  $C_\mu$ .*

**Proof.** We use induction on the length  $n$  of the sequence. In the case that  $n = 0$ , it holds trivially. Let  $n \geq 1$ . Then we have  $s^\sharp \xrightarrow[\mu, R, \mathcal{C}]{}^* u^\sharp \xrightarrow[\mu, R, \mathcal{C}]{} t^\sharp$  for some  $u$ .

- In the case that  $u^\sharp \xrightarrow[\mu^\sharp, \mathcal{C}_\mathcal{F}]{} t^\sharp$ , we have  $u \xrightarrow[\mu, R]{} C'_\mu[t]$  by the definition of dependency pairs.
- In the case that  $u^\sharp \xrightarrow[\mu^\sharp, \mathcal{C}_\mathcal{V}]{} v \xrightarrow[\mu^\sharp]{} t^\sharp$ , we have  $u \xrightarrow[\mu, R]{} C''_\mu[v]$  by the definition of dependency pairs and  $v = C'''_\mu[t]$ . Thus  $u \xrightarrow[\mu, R]{} C''_\mu[C'''_\mu[t]] = C'_\mu[t]$ .
- In the case that  $u^\sharp \xrightarrow[\mu^\sharp, R]{} t^\sharp$ , we have  $u \xrightarrow[\mu, R]{} C'_\mu[t]$  for  $C'_\mu[\ ] = \square$ .

Therefore  $s \xrightarrow[\mu, R]{}^* C_\mu[u] \xrightarrow[\mu, R]{} C_\mu[C'_\mu[t]]$  by the induction hypothesis and Proposition 2.3.  $\square$

#### 4.1 Context-Sensitive Semi-Constructor TRS

In this subsection, we discuss the decidability of  $\mu$ -termination for context-sensitive semi-constructor TRSs.

**Definition 4.13** [Context-Sensitive Semi-Constructor TRS] For an  $\mathcal{F}$ -map  $\mu$ , a TRS  $R$  is a *context-sensitive semi-constructor* ( $\mu$ -semi-constructor) TRS if all rules in  $\text{DP}_\mathcal{F}(R, \mu)$  are right ground.

For an  $\mathcal{F}$ -map  $\mu$ , the class of  $\mu$ -semi-constructor TRSs is a superclass of the class of semi-constructor TRSs from Definition 2.5 and 4.13.

For a TRS  $R$  and  $\mathcal{F}$ -map  $\mu$ , we say  $R$  is free from the infinite variable dependency chain (FFIVDC) if and only if there exists no infinite context-sensitive dependency

chain consisting of only elements in  $\text{DP}_V(R, \mu)$ . If  $R$  is FFIVDC, then  $\mathcal{C}_{\min}^\mu(t^\sharp) = \emptyset$  for any  $\mathcal{C} \subseteq \text{DP}_V(R, \mu)$  and any term  $t$ .

**Lemma 4.14** *Let  $\mu$  be an  $\mathcal{F}$ -map. If a  $\mu$ -semi-constructor TRS  $R$  is FFIVDC, then the following statements are equivalent:*

- (i)  $R$  does not  $\mu$ -terminate.
- (ii) There exists  $l^\sharp \rightarrow u^\sharp \in \text{DP}_\mathcal{F}(R, \mu)$  such that  $sq$  head-loops for  $\mathcal{C} \subseteq \text{DP}(R, \mu)$  and some  $sq \in \mathcal{C}_{\min}^\mu(u^\sharp)$ .

**Proof.** ((ii)  $\Rightarrow$  (i)) : It is obvious from Lemma 4.12, and Proposition 4.3. ((i)  $\Rightarrow$  (ii)) : By Theorem 4.8 there exists an infinite context-sensitive dependency chain. By Proposition 4.11(i), there exists a sequence  $sq \in \mathcal{C}_{\min}^\mu(t^\sharp)$ . By Proposition 4.11(ii),(iii) and the fact that  $R$  is FFIVDC, there is some rule in  $l^\sharp \rightarrow u^\sharp \in \mathcal{C}_\mathcal{F}$  which is applied at the root position in  $sq$  infinitely often.

By Definition 4.13,  $u^\sharp$  is ground. Thus  $sq$  contains a subsequence  $u^\sharp \xrightarrow[\mu, R, \mathcal{C}]{}^+ u^\sharp$ , which head-loops and is in  $\mathcal{C}_{\min}^\mu(u^\sharp)$ .  $\square$

**Theorem 4.15** *Let  $\mu$  be an  $\mathcal{F}$ -map. If a  $\mu$ -semi-constructor TRS  $R$  is FFIVDC, then  $\mu$ -termination of  $R$  is decidable.*

**Proof.** The decision procedure for  $\mu$ -termination of a  $\mu$ -semi-constructor TRS  $R$  is as follows: consider all terms  $u_1, u_2, \dots, u_n$  corresponding to the right-hand sides of  $\text{DP}_\mathcal{F}(R, \mu) = \{l_i^\sharp \rightarrow u_i^\sharp \mid 1 \leq i \leq n\}$ , and simultaneously generate all  $\mu$ -reduction sequences with respect to  $R$  starting from  $u_1, u_2, \dots, u_n$ . The procedure halts if it enumerates all reachable terms exhaustively or it detects a  $\mu$ -looping reduction sequence  $u_i \xrightarrow[\mu, R]{}^+ C_\mu[u_i]$  for some  $i$ .

Suppose  $R$  does not  $\mu$ -terminate. By Lemma 4.14 and 4.12, we have a  $\mu$ -looping reduction sequence  $u_i \xrightarrow[\mu, R]{}^+ C_\mu[u_i]$  for some  $i$  and  $C_\mu$ , which we eventually detect. If  $R$   $\mu$ -terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a  $\mu$ -looping sequence, otherwise it contradicts to Proposition 4.3. Thus the procedure decides  $\mu$ -termination of  $R$  in finitely many steps.  $\square$

We have to check the FFIVDC property in order to use Theorem 4.15. However, The FFIVDC property is not necessarily decidable. The following proposition provides a sufficient condition. The set  $\text{DP}_V^1(R, \mu)$  is a subset of  $\text{DP}_V(R, \mu)$  defined as follows:

$$\text{DP}_V^1(R, \mu) = \{f^\sharp(u_1, \dots, u_k) \rightarrow x \in \text{DP}_V(R, \mu) \mid \exists i, 1 \leq i \leq k, i \notin \mu(f), x \in \text{Var}(u_i)\}$$

**Proposition 4.16** ([2]) *Let  $R$  be a TRS,  $\mu$  be an  $\mathcal{F}$ -map and  $\mathcal{C} \subseteq \text{DP}_V^1(R, \mu)$ .  $\mathcal{C}_{\min}^\mu(t^\sharp) = \emptyset$  for any term  $t$ .*

If  $\text{DP}_V^1(R, \mu) = \text{DP}_V(R, \mu)$  then  $R$  is FFIVDC by Proposition 4.16. Hence the following corollary directly follows from Theorem 4.15 and the fact that  $\text{DP}_V^1(R, \mu) = \text{DP}_V(R, \mu)$  is decidable.



**Corollary 4.17** *For an  $\mathcal{F}$ -map  $\mu$  and a  $\mu$ -semi-constructor TRS  $R$ ,  $\mu$ -termination of  $R$  is decidable if  $\text{DP}_{\mathcal{V}}(R, \mu) = \text{DP}_{\mathcal{V}}^1(R, \mu)$ .*

## 4.2 Semi-Constructor TRS

In this subsection, we try to remove FFIVDC condition from the results of the previous subsection. As a result, it appears that  $\mu$ -termination of semi-constructor TRSs (not  $\mu$ -semi-constructor) is decidable. The arguments of following Lemma 4.18 and 4.19 are similar to those of Lemma 3.5 and Proposition 3.6 in [3].

**Lemma 4.18** *Consider a reduction  $s^\sharp = C_{\mu^\sharp}[l\theta]_p \xrightarrow{\mu^\sharp, R} t^\sharp = C_{\mu^\sharp}[r\theta]_p = C'[u]_q$  where  $s, u \in \mathcal{M}_{\geq \mu}^{\mu, R}$  and  $q \in \text{Pos}(t) \setminus \text{Pos}_\mu(t)$ . Then one of the following statements holds*

- (i)  $s \triangleright u$
- (ii)  $v\theta = u$  and  $r = C''[v]_{q'}$  for some  $\theta$ ,  $v \notin \mathcal{V}$ ,  $C''$ , and  $q' \in \text{Pos}(r) \setminus \text{Pos}_\mu(r)$

**Proof.** Since  $q \in \text{Pos}(t) \setminus \text{Pos}_\mu(t)$ ,  $p$  is not below or equal to  $q$ . In the case that  $p$  and  $q$  are in parallel positions,  $s \triangleright u$  trivially holds. In the case that  $p$  is above  $q$ , it is obvious that  $s \triangleright u$  holds or,  $v\theta = u$  and  $r = C''[v]_{q'}$  for some  $\theta$ ,  $v \notin \mathcal{V}$ ,  $C''$ . Here the fact that  $q' \in \text{Pos}(r) \setminus \text{Pos}_\mu(r)$  follows from  $p \in \text{Pos}_\mu(t)$  and  $q \notin \text{Pos}_\mu(t)$ .  $\square$

**Lemma 4.19** *Let  $R$  be a semi-constructor TRS,  $\mu$  be an  $\mathcal{F}$ -map. For a  $\mathcal{C}$ -min  $\mu$ -sequence  $s_1^\sharp \xrightarrow{\mu^\sharp, R}^* t_1^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_1 \geq_\mu^\sharp s_2^\sharp \xrightarrow{\mu^\sharp, R}^* t_2^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_2 \geq_\mu^\sharp \dots$  with no reduction by rules in  $\mathcal{C}_{\mathcal{F}}$ , one of the following statements holds for each  $i$ :*

- (i)  $s_i \triangleright s_{i+1}$
- (ii) There exists  $l^\sharp \rightarrow s_{i+1}^\sharp \in \text{DP}(R)$  for some  $l$

**Proof.** Since  $t_i^\sharp \xrightarrow{\mu^\sharp, \mathcal{C}_{\mathcal{V}}} u_i \geq_\mu^\sharp s_{i+1}^\sharp$ , we have  $t_i^\sharp = C[s_{i+1}]_q$  for some  $q \in \text{Pos}(t_i) \setminus \text{Pos}_\mu(t_i)$ . We show (i) or the following (ii') by induction on the number  $n$  of steps of  $s_i^\sharp \xrightarrow{\mu^\sharp, R}^n t_i^\sharp = C[s_{i+1}]$ .

(ii') There exists a reduction by  $l \rightarrow r$  in  $s_i^\sharp \xrightarrow{\mu^\sharp, R}^* t_i^\sharp$  and  $l^\sharp \rightarrow s_{i+1}^\sharp \in \text{DP}(R)$

- In the case that  $n = 0$ , trivially  $s_i = t_i \triangleright s_{i+1}$ .
- In the case that  $n > 0$ , let  $s_i^\sharp \xrightarrow{\mu^\sharp, R} s'^\sharp \xrightarrow{\mu^\sharp, R}^{n-1} t_i^\sharp = C[s_{i+1}]_q$ . By the induction hypothesis,  $s' \triangleright s_{i+1}$  or the condition (ii') follows. In the former case, we have  $s_i \triangleright s_{i+1}$ , or, we have  $v\theta = s_{i+1}$  and  $r = C'[v]_{q'}$  for some  $l \rightarrow r \in R$ ,  $\theta$ ,  $v \notin \mathcal{V}$ ,  $C'$  and  $q' \in \text{Pos}(r) \setminus \text{Pos}_\mu(r)$  by Lemma 4.18. Hence  $v\theta = v$  due to  $\text{root}(s_{i+1}) \in D_R$  and Definition 2.5. Therefore (ii') follows.  $\square$

One may think that the Lemma 4.19 would hold even if  $\text{DP}(R)$  were replaced with  $\text{DP}(R, \mu)$ . However, it does not hold as shown by the following counter example.

**Example 4.20** Consider the semi-constructor TRS  $R_4 = \{f(g(x)) \rightarrow x, g(b) \rightarrow g(f(g(b)))\}$ ,  $\mu_3(f) = \{1\}$  and  $\mu_3(g) = \emptyset$ . There exists a  $\mathcal{C}$ -min  $\mu_3$ -sequence

$f^\sharp(g(b)) \xrightarrow{\mu_3^\sharp, R_4} f^\sharp(g(f(g(b)))) \xrightarrow{\mu_3^\sharp, C_V} f(g(b)) \triangleright_{\mu_3}^\sharp f^\sharp(g(b))$  where  $C_V = \text{DP}_V(R_4, \mu_3)$ . However there exists no dependency pair having  $f^\sharp(g(b))$  in the right-hand side in  $\text{DP}(R, \mu)$ .

**Lemma 4.21** *For a semi-constructor TRS  $R$  and an  $\mathcal{F}$ -map  $\mu$ , the following statements are equivalent:*

- (i)  $R$  does not  $\mu$ -terminate.
- (ii) There exists  $l^\sharp \rightarrow u^\sharp \in \text{DP}(R)$  such that  $sq$  head-loops for  $\mathcal{C} \subseteq \text{DP}(R, \mu)$  and some  $sq \in C_{\min}^\mu(u^\sharp)$ .

**Proof.** ((ii)  $\Rightarrow$  (i)) : It is obvious from Lemma 4.12, and Proposition 4.3. ((i)  $\Rightarrow$  (ii)) : By Theorem 4.8 there exists a context-sensitive dependency chain. By Proposition 4.11(i), there exists a sequence  $sq \in C_{\min}^\mu(t^\sharp)$ . By Proposition 4.11(ii),(iii), there exists a rule in  $\mathcal{C}$  applied at root position in  $sq$  infinitely often.

- Consider the case that there exists a rule  $l^\sharp \rightarrow r^\sharp \in \mathcal{C}_\mathcal{F}$  with infinite use in  $sq$ . Since  $u$  is ground by Proposition 4.11(ii) and  $\mathcal{C}_\mathcal{F} \subseteq \text{DP}(R)$ ,  $sq$  has a subsequence  $u^\sharp \xrightarrow{\mu, R, \mathcal{C}}^+ u^\sharp$ .
- Otherwise,  $sq$  has an infinite subsequence without the use of the rules in  $\mathcal{C}_\mathcal{F}$ . The subsequence is in  $C_{\min}^\mu(s^\sharp)$  for some  $s^\sharp$ . Then the condition (ii) of Lemma 4.19 holds for infinitely many  $i$ 's; otherwise, we have an infinite sequence  $s_k \triangleright s_{k+1} \triangleright \dots$  for some  $k$ , which is a contradiction. Hence there exists a  $l^\sharp \rightarrow u^\sharp \in \text{DP}(R)$  such that  $u^\sharp$  occurs more than once in  $sq$ . Thus the sequence  $u^\sharp \xrightarrow{\mu, R, \mathcal{C}}^+ u^\sharp$  appears in  $sq$ .  $\square$

**Theorem 4.22** *The property  $\mu$ -termination of semi-constructor TRSs is decidable.*

**Proof.** The decision procedure for  $\mu$ -termination of a semi-constructor TRS  $R$  is as follows: consider all terms  $u_1, u_2, \dots, u_n$  corresponding to the right-hand sides of  $\text{DP}(R) = \{l_i^\sharp \rightarrow u_i^\sharp \mid 1 \leq i \leq n\}$ , and simultaneously generate all  $\mu$ -reduction sequences with respect to  $R$  starting from  $u_1, u_2, \dots, u_n$ . The procedure halts if it enumerates all reachable terms exhaustively or it detects a  $\mu$ -looping reduction sequence  $u_i \xrightarrow{\mu, R}^+ C_\mu[u_i]$  for some  $i$ .

Suppose  $R$  does not  $\mu$ -terminate. By Lemma 4.21 and 4.12, we have a  $\mu$ -looping reduction sequence  $u_i \xrightarrow{\mu, R}^+ C_\mu[u_i]$  for some  $i$  and  $C_\mu$ , which we eventually detect. If  $R$   $\mu$ -terminates, then the execution of the reduction sequence generation eventually stops since the reduction relation is finitely branching. In the latter case, the procedure does not detect a  $\mu$ -looping sequence, otherwise it contradicts to Proposition 4.3. Thus the procedure decides  $\mu$ -termination of  $R$  in finitely many steps.  $\square$

## 5 Extending the Classes by DP-graphs

### 5.1 Innermost Termination

In this subsection, we extend the class for which innermost termination is decidable by using the dependency graph.

**Lemma 5.1** *Let  $R$  be a TRS whose innermost termination is equivalent to the non-existence of an innermost dependency chain that contains infinite use of right-ground dependency pairs. Then innermost termination of  $R$  is decidable.*

**Proof.** We apply the procedure used in the proof of Lemma 3.9 starting with terms  $u_1, u_2, \dots, u_n$ , where  $u_i^\sharp$ 's are all ground right-hand sides of dependency pairs. Suppose  $R$  is innermost non-terminating, then we have an innermost dependency chain with infinite use of a right-ground dependency pair. Similarly to the semi-constructor case, we have a looping sequence  $u_i \xrightarrow{in, R}^+ C[u_i]$ , which can be detected by the procedure.  $\square$

**Definition 5.2** [Innermost DP-Graph [4]] The *innermost dependency graph* (innermost DP-graph for short) of a TRS  $R$  is a directed graph whose nodes are the dependency pairs and there is an arc from  $s^\sharp \rightarrow t^\sharp$  to  $u^\sharp \rightarrow v^\sharp$  if there exist normal substitutions  $\sigma$  and  $\tau$  such that  $t^\sharp \sigma \xrightarrow{in, R}^* u^\sharp \tau$  and  $u^\sharp \tau$  is a normal form with respect to  $R$ .

An approximated innermost DP-graph is a graph that contains the innermost DP-graph as a subgraph. Such computable graphs are proposed in [4], for example.

**Theorem 5.3** *Let  $R$  be a TRS and  $G$  be an approximated innermost DP-graph of  $R$ . If at least one node in the cycle is right-ground for every cycle of  $G$ , then innermost termination of  $R$  is decidable.*

**Proof.** From Lemma 5.1.  $\square$

**Example 5.4** Let  $R_5 = \{f(s(x)) \rightarrow g(x), g(s(x)) \rightarrow f(s(0))\}$ . Then  $\text{DP}(R_5) = \{f^\sharp(s(x)) \rightarrow g^\sharp(x), g^\sharp(s(x)) \rightarrow f^\sharp(s(0))\}$ . The innermost DP-graph of  $R_5$  has one cycle, which contains a right-ground node [Fig. 1]. The innermost termination of  $R_5$  is decidable by Theorem 5.3. Actually we know  $R_5$  is innermost terminating from the procedure in the proof of Theorem 3.9 since all innermost reduction sequences from  $f(s(0))$  terminate.

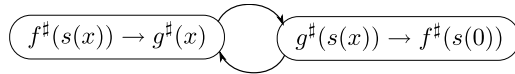
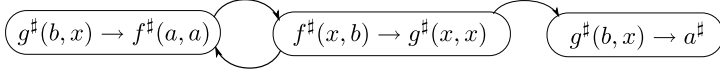


Fig. 1. The innermost DP-graph of  $R_5$

**Example 5.5** Let  $R_6 = \{a \rightarrow b, f(a, x) \rightarrow x, f(x, b) \rightarrow g(x, x), g(b, x) \rightarrow h(f(a, a), x)\}$ . Then  $\text{DP}(R_6) = \{f^\sharp(x, b) \rightarrow g^\sharp(x, x), g^\sharp(b, x) \rightarrow f^\sharp(a, a), g^\sharp(b, x) \rightarrow a^\sharp\}$ . The innermost DP-graph of  $R_6$  has one cycle, which contains a right-ground node [Fig. 2]. The innermost termination of  $R_6$  is decidable by Theorem 5.3. Actually we know  $R_6$  is not innermost terminating from the procedure in the proof of Theorem 3.9 by detecting the looping sequence  $f(a, a) \xrightarrow{in, R_6} f(b, b) \xrightarrow{in, R_6} g(b, b) \xrightarrow{in, R_6} h(f(a, a), b)$ .

Fig. 2. The innermost DP-Graph of  $R_6$ 

## 5.2 Context-Sensitive Termination

We extend the class for which  $\mu$ -termination is decidable by using the dependency graph. The class extended in this subsection is the class that satisfies the condition of Corollary 4.17.

**Lemma 5.6** *Let  $R$  be a TRS and  $\mu$  be an  $\mathcal{F}$ -map. If  $\mu$ -termination of  $R$  is equivalent to the non-existence of a context-sensitive dependency chain that contains infinite use of right-ground rules in  $\text{DP}_{\mathcal{F}}(R, \mu)$ , then  $\mu$ -termination of  $R$  is decidable.*

**Proof.** We apply the procedure used in the proof of Lemma 4.22 starting with terms  $u_1, u_2, \dots, u_n$ , where  $u_i^\sharp$ 's are all ground right-hand sides of rules in  $\text{DP}_{\mathcal{F}}(R, \mu)$ . Suppose  $R$  is non- $\mu$ -terminating, then we have a context-sensitive dependency chain with infinite use of right-ground rules in  $\text{DP}_{\mathcal{F}}(R, \mu)$ . Similar to the  $\mu$ -semi-constructor case, we have a looping sequence  $u_i \xrightarrow[\mu, R]{+} C_\mu[u_i]$ , which can be detected by the procedure.  $\square$

**Definition 5.7** [Context-Sensitive DP-Graph [2]] The *context-sensitive dependency graph* (context-sensitive DP-graph for short) of a TRS  $R$  and an  $\mathcal{F}$ -map  $\mu$  is a directed graph whose nodes are elements of  $\text{DP}(R, \mu)$ :

- (i) There is an arc from  $s \rightarrow t \in \text{DP}_{\mathcal{F}}(R, \mu)$  to  $u \rightarrow v \in \text{DP}(R, \mu)$  if there exist substitutions  $\sigma$  and  $\tau$  such that  $t\sigma \xrightarrow[\mu^\sharp, R]{*} u\tau$ .
- (ii) There is an arc from  $s \rightarrow t \in \text{DP}_{\mathcal{V}}(R, \mu)$  to each dependency pair  $u \rightarrow v \in \text{DP}(R, \mu)$ .

Similar to the innermost case, a computable approximated context-sensitive DP-graph is proposed [2,3].

**Theorem 5.8** *Let  $R$  be a TRS,  $\mu$  be an  $\mathcal{F}$ -map and  $G$  be an approximated context-sensitive DP-graph of  $R$ . The property  $\mu$ -termination of  $R$  is decidable if one of following holds for every cycle in  $G$ .*

- (i) The cycle contains at least one node that is right-ground.
- (ii) All nodes in the cycle are elements in  $\text{DP}_{\mathcal{V}}^1(R, \mu)$ .

**Proof.** From Lemma 5.6 and Theorem 4.16.  $\square$

**Example 5.9** Let  $R_7 = \{h(x) \rightarrow g(x, x), g(a, x) \rightarrow f(b, x), f(x, x) \rightarrow h(a), a \rightarrow b\}$  and  $\mu_4(f) = \mu_4(g) = \mu_4(h) = \{1\}$  [10]. Then  $\text{DP}(R_7, \mu_4) = \{h^\sharp(x) \rightarrow g^\sharp(x, x), g^\sharp(a, x) \rightarrow f^\sharp(b, x), f^\sharp(x, x) \rightarrow h^\sharp(a), f^\sharp(x, x) \rightarrow a^\sharp\}$ . The context-sensitive DP-graph of  $R_7$  and  $\mu_4$  has one cycle, which contains a right-ground node [Fig.3]. The  $\mu_4$ -termination of  $R_7$  is decidable by Theorem 5.8. Actually we know

$R_7$  is  $\mu_4$ -terminating from the procedure in the proof of Theorem 4.15 since all  $\mu_4$ -reduction sequences from  $h(a)$  terminate.

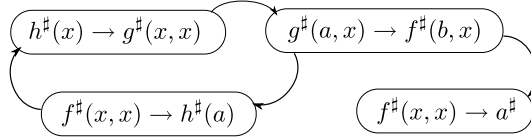


Fig. 3. The context-sensitive DP-Graph of  $R_7$  and  $\mu_4$

**Example 5.10** Let  $\mu_5(g) = \{2\}$  and  $\mu_5(f) = \mu_5(h) = \{1\}$ . Consider the  $\mu_5$ -termination of  $R_7$ . The context-sensitive DP-graph for  $R_7$  and  $\mu_5$  is the same as the one for  $R_7$  and  $\mu_4$  [Fig.3]. The  $\mu_5$ -termination of  $R_7$  is decidable by Theorem 5.8. By the decision procedure, we can detect the  $\mu_5$ -looping sequence  $h(a) \xrightarrow{\mu_5, R_7} g(a, a) \xrightarrow{\mu_5, R_7} g(a, b) \xrightarrow{\mu_5, R_7} f(b, b) \xrightarrow{\mu_5, R_7} h(a)$ . Thus  $R_7$  is non- $\mu_5$ -terminating.

The class of TRSs that satisfy the conditions of Theorem 5.8 is a superclass of the class of TRS that satisfy the conditions of Corollary 4.17. The class of semi-constructor TRSs and the class of TRSs that satisfy the conditions of Theorem 5.8 are not included in each other.

**Example 5.11** The TRS  $R_7$  with an  $\mathcal{F}$ -map  $\mu_4$  satisfies the condition of Theorem 5.8, but is not semi-constructor TRS. On the other hand, the TRS  $R_3$  with an  $\mathcal{F}$ -map  $\mu_2$  is a semi-constructor TRS, but does not satisfy the second condition of Theorem 5.8.

## 6 Conclusion

We have shown that innermost termination for semi-constructor TRSs is a decidable property and  $\mu$ -termination for semi-constructor TRSs and  $\mu$ -semi-constructor TRSs are decidable properties.

It is not difficult to implement the procedures in proofs of Theorem 3.9, Theorem 4.15 and Theorem 4.22. The class of semi-constructor TRSs are a rather small class: approximately 3 % of the TRSs in the termination problem data base 4.0 [1] are in this class. We can extend the decidable classes if we succeed in developing a method for good approximated DP-graphs.

In the future we will study the decidability of innermost termination and  $\mu$ -termination by applying known techniques for termination results [7,13]. Currently, innermost termination for shallow TRSs is known to be decidable [7]. There are several future works, studying whether the condition FFIVDC is removed from Theorem 4.15 or not, and extending the class of semi-constructor TRSs by using notions of context-sensitive DP-graph.

## Acknowledgement

We would like to thank the anonymous referees for their helpful comments and remarks. This work is partly supported by MEXT.KAKENHI #18500011 and #16300005.

## References

- [1] The termination problems data base. <http://www.lri.fr/~marche/tpdb/>.
- [2] B. Alarcón, R. Gutiérrez, and S. Lucas. Context-sensitive dependency pairs. In *the 26th Conference on Foundations of Software Technology and Theoretical Computer Science*, volume 4337 of *Lecture Notes in Computer Science*, pages 298–309, 2006.
- [3] B. Alarcón, R. Gutiérrez, and S. Lucas. Improving the context-sensitive dependency graph. *Electronic Notes in Theoretical Computer Science*, 188:91–103, 2007.
- [4] T. Arts and J. Giesl. Termination of term rewriting using dependency pairs. *Theoretical Computer Science*, 236:133–178, 2000.
- [5] F. Baader and T. Nipkow. *Term rewriting and all that*. Cambridge University Press, 1998.
- [6] N. Dershowitz. Termination of linear rewriting systems. In *the 8th International Colloquium on Automata, Languages and Programming*, volume 115 of *Lecture Notes in Computer Science*, pages 448–458, 1981.
- [7] G. Godoy, E. Huntingford, and A. Tiwari. Termination of rewriting with right-flat rules. In *the 18th International Conference on Rewriting Techniques and Applications*, volume 4533 of *Lecture Notes in Computer Science*, pages 200 – 213, 2007.
- [8] G. Godoy and A. Tiwari. Termination of rewrite systems with shallow right-linear, collapsing, and right-ground rules. In *the 20th International Conference on Automated Deduction*, volume 3632 of *Lecture Notes in Computer Science*, pages 164–176, 2005.
- [9] G. Huet and D. Lankford. On the uniform halting problem for term rewriting systems. Technical report, INRIA, 1978.
- [10] S. Lucas. Proving termination of context-sensitive rewriting by transformation. *Information and Computation*, 204:1782–1846, 2006.
- [11] I. Mitsuhashi, M. Oyamaguchi, Y. Ohta, and T. Yamada. The joinability and unification problems for confluent semi-constructor trss. In *the 15th International Conference on Rewriting Techniques and Applications*, volume 3091 of *Lecture Notes in Computer Science*, pages 285 – 300, 2004.
- [12] T. Nagaya and Y. Toyama. Decidability for left-linear growing term rewriting systems. *Information and Computation*, 178:499–514, 2002.
- [13] Y. Wang and M. Sakai. Decidability of termination for semi-constructor trss, left-linear shallow trss and related systems. In *the 17th International Conference on Rewriting Techniques and Applications*, volume 4098 of *Lecture Notes in Computer Science*, pages 343–356, 2006.